

INDICTMENT, CONVICTION, AND DISCIPLINARY ACTION NOTIFICATION

RE 238 (Rev. 6/19)

DRE USE ONLY

DRE RECEIVED DATE

LICENSE ID DRE USE ONLY

- **Complete in full, as appropriate.**
- **Sign and date the form.**
- **Mail to:**

**Department of Real Estate
PO Box 137013
Sacramento, CA 95813-7013**

DRE USE ONLY

DRE LICENSE NUMBER

DRE USE ONLY

LICENSEE NAME – LAST, FIRST, MIDDLE (MUST BE YOUR LEGAL NAME)

Pursuant to Business and Professions (B&P) Code Section 10186.2, a licensee is required to report to the Department in writing within 30 days from the date of any conviction, criminal complaint, information or indictment charging a felony, or disciplinary action. Failure to make a report required by this section shall constitute a cause for discipline. If you are filing this report pursuant to B&P Section 10186.2, please answer the following questions:

1. Has a criminal complaint, information or indictment charging a felony against you been filed in a court of law? If yes, please complete item #4. **NOTE:** Indictment means a formal accusation initiating a criminal case, presented by a grand jury and usually required for felonies or other serious crimes. Information means a formal accusation of a crime made by a prosecuting officer of a state.
2. Have you been convicted, including any verdict of guilty, or plea of guilty or no contest, of any felony or misdemeanor? If yes, please complete item #4. **NOTE:** Convicted includes a verdict of guilty by a judge or jury, a plea of guilty, a plea of nolo contendere (i.e. no contest), or a forfeiture of bail in the courts (including military courts) of any state, commonwealth, possession or county.
3. Has there been disciplinary action taken against you by another licensing entity or authority of this state or of another state or an agency of the federal government. If yes, please complete lines 5A to 5H.

INDICTMENT, INFORMATION, OR CONVICTION DETAILS

COURT OF CONVICTION <i>(Name and Address)</i>	ARRESTING AGENCY <i>(Name and Address)</i>	DATE OF CONVIC- TION	TYPE OF CONVICTION	CODE SECTION VIOLATED	CODE VIOLATED	DISPOSITION	CASE NUMBER
<i>Example:</i> Sacramento Cnty. 456 Main St., Sac	Sacramento City Police 123 Main St., Sac	2/20/87	<input type="checkbox"/> Felony <input checked="" type="checkbox"/> Misdemeanor	484	Penal Code	6 months probation and \$200 fine	1234
4A.			<input type="checkbox"/> Felony <input type="checkbox"/> Misdemeanor				
4B.			<input type="checkbox"/> Felony <input type="checkbox"/> Misdemeanor				
4C.			<input type="checkbox"/> Felony <input type="checkbox"/> Misdemeanor				
4D.			<input type="checkbox"/> Felony <input type="checkbox"/> Misdemeanor				

DISCIPLINARY ACTION DETAILS

5A. TYPE OF LICENSE	5B. LICENSE ID NO.	5C. LICENSE EXPIRATION DATE	5D. STATE
5E. ACTION TAKEN	5F. DATE OF ACTION	5G. DATE ACTION TERMINATED	5H. CODE SECTION VIOLATED

6. **ADDITIONAL INFORMATION:** Specify which lines you are referring to. Must sign #7.

Certification

I certify under penalty of perjury under the laws of the State of California that the foregoing answers and statements given on this form are true and correct.

7. SIGNATURE OF LICENSEE (MUST BE ORIGINAL OR ELECTRONIC* SIGNATURE)

DATE

* Electronic signature must comply with California Uniform Transactions Act (CA Civ. Code section 1633.1 et.seq.)